



## 道琼斯可持续发展指数 2019 年评审结果

阿姆斯特丹、纽约、苏黎世，2019 年 9 月 13 日：今日，世界领先的指数提供商标普道琼斯指数（S&P DJI），携手专注提供环境、社会及管治（ESG）数据、评级及基准服务的 RobecoSAM 旗下业务单元 SAM，宣布道琼斯可持续发展指数（DJSI）的年度评审结果。同时，今年亦是道琼斯可持续发展世界指数（DJSI World）成为衡量及推进企业 ESG 实践的第 20 周年。

按自由流通市值计算，道琼斯可持续发展世界指数今年新增及移除的前三大公司为：

**新增：** Alphabet Inc、CVS Health Corp、利洁时（Reckitt Benckiser Group PLC）

**移除：** 花旗集团（Citigroup Inc<sup>1</sup>）、荷兰皇家壳牌（Royal Dutch Shell PLC）、3M Co

据评审结果，所有道琼斯可持续发展指数均新增成份股，有关新成份股可在 [RobecoSAM 网站](#) 上查询。所有变动将于 **2019 年 9 月 23 日（星期一）** 生效。

### 自 1999 年起率先推行 ESG 评估及推广 ESG 实务

今年是道琼斯可持续发展世界指数面市 20 周年的重要里程碑。自 1999 年率先推出以来，该指数已确立了其作为衡量及推进企业 ESG 实务全球准则的标志性地位。该指数为首个追踪最大及领军的可持续发展上市企业的全球指数。

**SAM 可持续发展评估（CSA）** 分析对财务有重大影响的 ESG 因素，再结合标普道琼斯指数的编制方法从而计算得出结果，成为构建及维持整个指数系列的基础。CSA 遵循以规则为基准的严格方法。在采用一系列与财务相关及行业特定的 ESG 标准进行评估后，每间公司均会获得一个可持续发展总分。基于此可持续发展总分，遵循同类最优的方法，相关行业排名前 10% 的公司将被纳入道琼斯可持续发展世界指数。

### CSA 持续演进

CSA 逐年不断优化，以识别及计量研究或报告覆盖不足但对财务有重大影响的 ESG 因子。此过程有助于更好地发现能够应对未来可持续发展方面挑战及机遇的公司。目前，CSA 为每间公司提供约 1,000 个数据点，并成为判断是否纳入指数的基准。

在其演进过程中，CSA 鼓励企业采取贯彻一致的做法，就当前的相关可持续发展主题以及可能对公司财务产生重大影响的前瞻性可持续发展事宜进行披露。

例如今年，投资者对「信息安全、网络安全及系统可用性」、「私隐保护」及「最低生活工资」等重要事项的管控及披露要求加强，因此相关的现有 CSA 标准获得进一步提高。

对于寻求衡量并提升其可持续发展表现的公司，CSA [认可素质及稳健度](#) 的吸引力再创纪录新高。这说明企业 ESG 表现披露已成为迎合主流投资者预期的必要条件。正如道琼斯可持续发展指数先驱者所预期，近年来投资界对于将 ESG 因子融入其投资决策的重视程度正在不断提高。

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<sup>1</sup>仍为 DJSI 北美指数的成份股



请使用以下链接查阅更多数据:

- DJSI及成份股变动载于[DJSI评审页面](#)或[DJSI 世界产品页面](#)
- 各行业表现最佳的公司载于[2019年行业领导者报告](#)
- 本年度的CSA[年度方法及评分评审](#) (或注册[网络广播](#))
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## ABOUT ROBECOSAM

Founded in 1995, RobecoSAM is an investment specialist focused exclusively on Sustainable Investing. It provides asset management, impact analysis and investing, sustainability assessments, as well as ESG data, ratings, and benchmarking. Serving institutional asset owners and financial intermediaries, the company's asset management capabilities feature a strong track record in sustainability-themed strategies, together with expertise in strategies focused on the UN Sustainable Development Goals (SDGs). RobecoSAM was first to treat ESG as a standalone factor using its Smart ESG methodology. Since 1999 and together with S&P Dow Jones Indices, RobecoSAM has published the globally recognized Dow Jones Sustainability Indices (DJSI). Based on the "SAM\* Corporate Sustainability Assessment" (CSA), an annual ESG analysis of over 4,700 listed companies, RobecoSAM has compiled one of the world's most comprehensive databases of financially material sustainability information. The CSA data is also included in USD 136 billion of assets under management at Robeco.

RobecoSAM is an affiliate of Robeco\*\*, the Dutch investment management firm founded in 1929. Both companies share a joint mission: enabling clients to achieve their financial and sustainability goals by providing superior investment returns and solutions. Robeco is fully owned by ORIX Corporation Europe N.V., a subsidiary of ORIX Corporation.

As a reflection of its own commitment to advancing sustainable investment practices, RobecoSAM is a signatory of the PRI, UN Global Compact and Climate Action 100+, a supporter of the Task Force on Climate-related Financial Disclosure (TCFD), as well as a member of Eurosif, Swiss Sustainable Finance, Carbon Disclosure Project (CDP), and Portfolio Decarbonization Coalition (PDC), among others. As of June 30, 2019, RobecoSAM had client assets under management, advice and/or license of approximately USD 24.3 billion.

*Important legal information:*

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### S&P Dow Jones Indices

Client Services:

[Index\\_services@spglobal.com](mailto:Index_services@spglobal.com)

Media Inquiries:

[spdji.comms@spglobal.com](mailto:spdji.comms@spglobal.com)

### RobecoSAM

Corporate Communications:

+41 (0) 44 653 10 02

[com@robecosam.com](mailto:com@robecosam.com)

[www.robecosam.com](http://www.robecosam.com)