

S&P Dow Jones Indices Announcement for Additional Removals due to Sanctions

NEW YORK, JANUARY 7, 2021: S&P Dow Jones Indices (“S&P DJI”) will remove the following securities from indices effective prior to the open on **Tuesday, January 12, 2021**.

Equity

- China Mobile Ltd. (Ticker: 0941.HK)
- China Telecom Corporation Ltd. - H Shares (Ticker: 0728.HK)
- China Unicom (Hong Kong) Ltd. (Ticker: 0762.HK)

Fixed Income

- China Telecom Corp Ltd (ISIN: CND100020NC4)
- China Telecom Corp Ltd (ISIN: CND1000248G3)
- China Telecom Corp Ltd (ISIN: CND10003ND87)
- China Telecom Corp Ltd (ISIN: CND10003QNY2)
- China United Network Communications Co Ltd (ISIN: CND10002JBL1)

S&P DJI’s announcement to move forward with removing the above-referenced securities from its indices is in accordance with the guidelines described in the Sanctions section of [S&P DJI Equity Indices Policies & Practices Methodology](#) and [S&P DJI Fixed Income Indices Policies & Practices Methodology](#).

On January 6, 2021, the U.S. Department of the Treasury issued FAQ No. 864 with respect to the November 12, 2020 Executive Order No. 13959 regarding a prohibition on U.S. transactions in certain Chinese securities. The FAQ identifies the above-referenced companies as companies whose name exactly or closely matches the name of an entity identified in the Annex to E.O. 13959. The prohibition in section 1(a)(i) of E.O. 13959 takes effect at 9:30 a.m. eastern time on January 11, 2021.

S&P DJI continues to review the implications of the guidance provided by the US Department of the Treasury - Office of Foreign Assets Control regarding entities impacted by the November 12, 2020 Executive Order prohibiting U.S. transactions in certain Chinese securities. S&P DJI will issue further notices as required.

Below is a list of the impacted headline indices.

Equity

- Dow Jones Asian Titans 50 Index (USD)
- Dow Jones BRIC 50 DR Index (USD)
- Dow Jones BRIC 50 Index (USD)
- Dow Jones BRIC China 15 Capped Index (USD)
- Dow Jones China Ex - A Share
- Dow Jones China Index (USD)
- Dow Jones China Offshore 50 Index
- Dow Jones Emerging Markets Consumer Titans Index (USD)
- Dow Jones Emerging Markets Index
- Dow Jones Global Index
- Dow Jones Islamic Market China Ex - A Share
- Dow Jones Sector Titans Composite Index
- Dow Jones Sustainability Emerging Markets Diversified Index
- Dow Jones Sustainability Emerging Markets Diversified Select Index
- Dow Jones Sustainability Emerging Markets Plus Diversified Index
- Dow Jones Sustainability Emerging Markets Plus Diversified Select Index
- Dow Jones Sustainability World Diversified Index
- Dow Jones Sustainability World Diversified Select Index
- Dow Jones Telecommunications Supersector Titans Index (USD)
- Dow Jones Telecommunications Titans 30 Index
- Dow Jones Tiger Titans 50 Index
- S&P Access China Enterprises Enhanced Value Index (CNY)
- S&P Access Hong Kong Index (USD)
- S&P Access Hong Kong Low Volatility High Dividend Index (HKD)
- S&P Asia 50
- S&P Asia 50 Fossil Fuel Free Index
- S&P Asia Pacific Emerging LargeMidCap ESG Index (USD)
- S&P Asia Pacific Emerging Plus LargeMidCap ESG Index (USD)
- S&P BMI Emerging Markets High Beta Index
- S&P BMI Emerging Markets Low Volatility Index
- S&P BRIC 40 Index
- S&P BRICT Index
- S&P China & Hong Kong 20% Capped Shariah Index (Custom)
- S&P China 500 (USD)
- S&P China All-Shares (USD)
- S&P China BMI (USD)
- S&P China LargeMidCap Shariah 10% Capped Index (USD)
- S&P EM 100 (USD)
- S&P Emerging Asia 40 Index
- S&P Emerging BMI (China A Full Inclusion) (USD)
- S&P Emerging BMI (USD)
- S&P Emerging BMI High Income Index (USD)
- S&P Emerging LargeMidCap Carbon Efficient Index (USD)
- S&P Emerging LargeMidCap ESG Index (USD)
- S&P Emerging Market Dividend Opportunities Index
- S&P Emerging Markets Core
- S&P Emerging Markets High Yield Dividend Aristocrats Index (USD)
- S&P Emerging Plus BMI (USD)
- S&P Emerging Plus BMI High Income Equity Index (US Dollar)
- S&P Emerging Plus LargeMidCap ESG Index (USD)
- S&P Enhanced Value Emerging LargeMidCap Index (USD)
- S&P Enhanced Value Global LargeMidCap Index (USD)
- S&P Enhanced Value Pan Asia Ex-Japan LargeMidCap Index (USD)
- S&P Enhanced Value Pan Asia LargeMidCap Index (USD)
- S&P GIVI China Index (US Dollar)
- S&P GIVI Emerging Index (US Dollar)
- S&P GIVI GDP Weighted Index (US Dollar)
- S&P GIVI Global Growth Markets Tilt Index (US Dollar)
- S&P GIVI Global Index (US Dollar)
- S&P GLOBAL 1200
- S&P Global 1200 (WM) Communication Services
- S&P Global 1200 Carbon Efficient Index
- S&P Global 1200 Communication Services 4.5/22.5/45 Capped Index (USD)
- S&P Global 1200 ESG Index (USD)
- S&P Global 1200 Ex-Canada Low Volatility High Dividend Index
- S&P Global 1200 Fossil Fuel Free Index
- S&P Global 1200 Low Volatility High Dividend Index
- S&P Global 1200 Quality, Value & Momentum Multi-factor Index
- S&P Global BMI (China A Full Inclusion) (USD)
- S&P Global BMI (USD)
- S&P Global BMI High Income Index (USD)
- S&P Global BMI Metals & Mining 25% Weighted Index (USD) (Custom)
- S&P Global Ex-Japan LargeMidCap Carbon Efficient Index (USD)
- S&P Global LargeMidCap Carbon Efficient Index (USD)
- S&P Global LargeMidCap Carbon Price Risk 2030 Adjusted Index (USD)
- S&P Global LargeMidCap ESG Index (USD)
- S&P Greater China All-Shares (USD)
- S&P Greater China BMI (USD)
- S&P Greater China BMI Shariah (US Dollar)
- S&P High Income Emerging
- S&P Hong Kong-Listed China 30 Index (USD)
- S&P International 700
- S&P Intrinsic Value Weighted China Index (US Dollar)
- S&P Intrinsic Value Weighted Emerging Index (US Dollar)
- S&P Intrinsic Value Weighted Global Index (US Dollar)
- S&P Low Beta Asia Pacific Emerging Plus Index (US Dollar)
- S&P Low Beta China Index (US Dollar)
- S&P Low Beta Emerging Asia Pacific Index (US Dollar)
- S&P Low Beta Emerging Index (US Dollar)
- S&P Low Beta Emerging Plus (includes Korea) Index (US Dollar)
- S&P Low Beta Global Index (US Dollar)
- S&P Low Beta Greater China (China, Hong Kong, Taiwan) Index (US Dollar)
- S&P New China Sectors Ex-A-Share Index (USD)
- S&P New China Sectors Index
- S&P Pan Asia Ex-JANZ, IN, PK BMI Shariah Top 100 Index (Custom)
- S&P Pan Asia Ex-JP, AU, NZ, IN, PK BMI Select Communications, Construction and Utilities 10% Capped Index (Custom)
- S&P Pan Asia Ex-JP, AU, NZ, IN, PK BMI Shariah (Custom)
- S&P Pan Asia Ex-JP, AU, NZ, IN, PK BMI Top 30 10% Capped Index (Custom) (USD)
- S&P Pan Asia Shariah China Index (US Dollar)
- S&P Pan Asia Shariah Index (US Dollar)
- S&P Quality Global LargeMidCap Index (US Dollar)
- S&P Quality Pan Asia Ex Japan LargeMidCap Index (US Dollar)
- S&P Shariah China & Hong Kong
- S&P Total China & Hong Kong Domestic BMI (USD)
- S&P Total China Domestic BMI (USD)
- S&P Total Greater China Domestic BMI (USD)
- S&P/BNY Mellon BRIC Select DR Index (USD)
- S&P/HKEX LargeCap
- S&P/IFCI China (USD)
- S&P/IFCI Composite (USD)
- S&P/KRX Asia 100 (USD)
- The Asia Dow (USD)
- The Global Dow (USD)

INDEX ANNOUNCEMENT

S&P Dow Jones Indices

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INDEX ANNOUNCEMENT

Fixed Income

- S&P Pan Asia Bond Index
- S&P China Bond Index
- S&P China Corporate Bond Index
- S&P China Composite Bond IndexS&P Enhanced Value Pan Asia LargeMidCap Index (USD)

To the extent any sub-indices (e.g., sector, size, region, and maturity indices) and alternative weighting scheme indices (i.e., capped) may include the above-referenced securities, those indices will also be impacted. For details of these actions on equity indices, please refer to the next end-of-day corporate action (*SDE) files beginning **today, January 7, 2021** for final details. Note that based on distribution times, the *SDE files for certain indices which have already been finalized today will first display the drops in the files dated **Friday, January 8, 2021**. Details on the changes to Fixed Income indices due to the removal of the above-mentioned securities will be reflected in the closing files (*SPFIC) on **Tuesday, January 12, 2021**.

For more information about S&P Dow Jones Indices, please visit www.spdji.com.

ABOUT S&P DOW JONES INDICES

S&P Dow Jones Indices is the largest global resource for essential index-based concepts, data and research, and home to iconic financial market indicators, such as the S&P 500® and the Dow Jones Industrial Average®. More assets are invested in products based on our indices than products based on indices from any other provider in the world. Since Charles Dow invented the first index in 1884, S&P DJI has been innovating and developing indices across the spectrum of asset classes helping to define the way investors measure and trade the markets.

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