

## PRESS RELEASE

# S&P Dow Jones Indices and B3 S.A. Launch the S&P/B3 Brazil ESG Index

**SAO PAULO, SEPTEMBER 8, 2020:** S&P Dow Jones Indices (“S&P DJI”), the world’s leading index provider, and B3 – Brasil, Bolsa, Balcão, the largest exchange in Latin America, today announced the debut of the S&P/B3 Brazil ESG Index, the latest in S&P DJI’s growing family of global ESG indices based on some of the world’s most highly-tracked regional and country-specific benchmarks.

The index uses a rules-based selection criteria based on relevant ESG principles to select and weight its constituents from the S&P Brazil BMI (Broad Market Index) that are locally listed on the B3. The objective is to give investors core exposure to the Brazilian equities market while providing a significant boost in ESG score performance.

“In the last year, ESG has joined the mainstream of investing as market participants increasingly see the importance and relevance of indices that incorporate sustainability data and principles,” said **Reid Steadman, Managing Director and Global Head of ESG Indices at S&P DJI**. “We have designed the S&P/B3 Brazil ESG index with the goal of enabling these investors to achieve their ESG investment goals while attaining performance largely in line with the market. We’re thrilled to work with the B3 to bring this strategy to the Brazilian market.”

“At a time when ESG is becoming increasingly relevant for investors worldwide, B3 is bringing a new index to the market to add to its portfolio of sustainable benchmarks and provide investors with yet another alternative in this segment,” said **Gleice Donini, B3's Head of Sustainability**.

## Index Methodology

The S&P/B3 Brazil ESG Index starts with an Index Universe of all companies actively trading on the B3 as local listings that are members of the S&P Brazil BMI, S&P DJI’s broadest country index representing the Brazilian equity market. Companies involved in controversial weapons, tobacco, thermal coal and companies with disqualifying United Nations Global Compact scores are excluded.

Remaining eligible companies are then weighted in the index by their S&P DJI ESG Score resulting from the Corporate Sustainability Assessment (CSA). Companies with no S&P DJI ESG Score are excluded from the index.

At launch, the S&P/B3 Brazil ESG Index will have the following 96 constituents:

COMPANY	TICKER	COMPANY	TICKER
Alpargatas S.A. Pref	ALPA4.SA	Gerdau SA Pref	GGBR4.SA
Alupar Investimentos S.A. Units	ALUP11.SA	Gol Linhas Aereas Inteligentes S.A. Pref	GOLL4.SA
Ambev S.A.	ABEV3.SA	Grendene SA	GRND3.SA
Arezzo Industria e Comercio S.A.	ARZZ3.SA	Guararapes Confeccoes SA	GUAR3.SA
Atacadao S.A.	CRFB3.SA	Hapvida Participacoes e Investimentos SA	HAPV3.SA
Azul S.A. Pref	AZUL4.SA	Hypera SA	HYPE3.SA

B2W Companhia Digital	BTOW3.SA	IRB Brasil Resseguros S.A.	IRBR3.SA
B3 S.A. - Brasil, Bolsa, Balcao	B3SA3.SA	Iguatemi Empresa de Shopping Centers	IGTA3.SA
BB Seguridade Participacoes S.A.	BBSE3.SA	Itau Unibanco Holding SA	ITUB3.SA
BR Malls Participacoes S.A.	BRML3.SA	Itau Unibanco Holding SA Pref	ITUB4.SA
BR Properties S.A.	BRPR3.SA	Itausa Investimentos Itau S.A Pref	ITSA4.SA
Banco BTG Pactual SA Units	BPAC11.SA	JSL S.A.	JSLG3.SA
Banco Bradesco	BBDC3.SA	Klabin SA Units	KLBN11.SA
Banco Bradesco Pref	BBDC4.SA	Light S.A.	LIGT3.SA
Banco Estado do Rio Grande do Sul S.A. Pref B	BRSR6.SA	Linx S.A.	LINX3.SA
Banco Santander Brazil Units	SANB11.SA	Localiza Rent A Car	RENT3.SA
Banco do Brasil	BBAS3.SA	Lojas Americanas	LAME3.SA
Bradespar SA	BRAP3.SA	Lojas Americanas Pref	LAME4.SA
Bradespar SA Pref	BRAP4.SA	Lojas Renner SA	LREN3.SA
Braskem SA Pref A	BRKM5.SA	M Dias Branco SA	MDIA3.SA
CCR S.A.	CCRO3.SA	MRV Engenharia e Participacoes S.A.	MRVE3.SA
CPFL Energia SA	CPFE3.SA	Magazine Luiza S.A.	MGLU3.SA
CVC Brasil Operadora e Agencia de Viagens S.A.	CVCB3.SA	Marfrig Global Foods S.A.	MRCG3.SA
Cia Brasileira de Distribuicao	PCAR3.SA	Metalurgica Gerdau Pref	GOAU4.SA
Cia Energetica de Minas Gerais	CMIG3.SA	Minerva S.A.	BEEF3.SA
Cia Energetica de Minas Gerais Pref	CMIG4.SA	Multiplan Empreendimentos Imobiliarios S.A.	MULT3.SA
Cia Energetica de Sao Paulo Pref B	CESP6.SA	Natura &Co Holding SA	NTCO3.SA
Cia Hering SA	HGTX3.SA	Neoenergia SA	NEOE3.SA
Cia Saneamento Basico De Sp	SBSP3.SA	Notre Dame Intermedica Participacoes SA	GNDI3.SA
Cia Saneamento de Minas Gerais	CSMG3.SA	Odontoprev S.A.	ODPV3.SA
Cia Saneamento do Parana - Sanepar Units	SAPR11.SA	Petro Rio S.A.	PRIO3.SA
Cia Transmissao Energia Electrica Paulista Pref	TRPL4.SA	Porto Seguro SA	PSSA3.SA
Cielo SA	CIEL3.SA	Qualicorp S.A.	QUAL3.SA
Cogna Educacao S.A.	COGN3.SA	Raia Drogasil S.A.	RADL3.SA
Companhia Paranaense de Energia	CPLE3.SA	Rumo Logistica S.A.	RAIL3.SA
Companhia Paranaense de Energia Pref B	CPLE6.SA	Santos Brasil S.A.	STBP3.SA
Companhia Siderurgica Nacional	CSNA3.SA	Sao Martinho S.A.	SMTO3.SA
Cosan SA Industria e Comercio	CSAN3.SA	Sul America SA Units	SULA11.SA
Cyrela Brazil Realty S.A.	CYRE3.SA	Suzano S.A.	SUZB3.SA
Duratex S.A.	DTEX3.SA	Telefonica Brasil S.A.	VIVT3.SA
ENGIE Brasil Energia S.A.	EGIE3.SA	Telefonica Brasil S.A. Pref	VIVT4.SA
EZ Tec Empreendimentos e Participacoes S.A	EZTC3.SA	Tim Participacoes	TIMP3.SA
EcoRodovias Infraestrutura e Logistica SA	ECOR3.SA	Totvs SA	TOTS3.SA
Embraer S.A.	EMBR3.SA	Transmissora Alianca de Energia Eletrica Units	TAEE11.SA
Energisa S.A. Units	ENGI11.SA	Ultrapar Participacoes S.A.	UGPA3.SA
Equatorial Energia S.A.	EQTL3.SA	Usiminas SA Pref A	USIM5.SA

Estacio Participacoes S.A.  
Fleury SA

YDUQ3.SA  
FLRY3.SA

Via Varejo S.A.  
WEG SA

VVAR3.SA  
WEGE3.SA

The research and methodology on the S&P/B3 Brazil ESG Index and S&P DJI ESG Scores are available at: [www.spdji.com](http://www.spdji.com).

S&P DJI has been a leader in ESG indexing for 20 years. Since the 1999 launch of the Dow Jones Sustainability Index (DJSI), the first global sustainability benchmark, S&P DJI now offers a range of ESG index solutions including carbon-efficient indices introduced in 2018. In April 2019, S&P DJI introduced the S&P 500 ESG Index based on the S&P 500®.

Please visit [www.spdji.com](http://www.spdji.com) for more information about the methodology behind our global family of ESG indices.

## **ABOUT S&P DOW JONES INDICES**

S&P Dow Jones Indices is the largest global resource for essential index-based concepts, data and research, and home to iconic financial market indicators, such as the S&P 500® and the Dow Jones Industrial Average®. More assets are invested in products based on our indices than products based on indices from any other provider in the world. Since Charles Dow invented the first index in 1884, S&P DJI has been innovating and developing indices across the spectrum of asset classes helping to define the way investors measure and trade the markets.

S&P Dow Jones Indices is a division of S&P Global (NYSE: SPGI), which provides essential intelligence for individuals, companies, and governments to make decisions with confidence. For more information, visit [www.spdji.com](http://www.spdji.com).

## **ABOUT B3**

B3 S.A. (B3SA3) is one of the main financial market infrastructure companies in the world and one of the largest in terms of market capitalization among global leaders in the exchange industry.

B3 connects, develops and enables the financial and capital market and, together with customers and society, boosts Brazil's growth.

It trades in stock exchange and OTC environments, and offers products and services to the financing chain. Headquartered in São Paulo and with offices in London and Shanghai, B3 performs important functions in the market by promoting best practices in corporate governance, risk management and sustainability.

### **B3. With the market. For the future.**

## **FOR MORE INFORMATION:**

### **S&P Dow Jones Indices**

Raymond McConville  
Americas Communications  
(+1) 212 438 1678  
[raymond.mcconville@spglobal.com](mailto:raymond.mcconville@spglobal.com)

### **B3**

[imprensa@b3.com.br](mailto:imprensa@b3.com.br)

## **PRESS RELEASE**

(55 11) 2565-7473

**PRESS RELEASE**

INFORMAÇÃO INTERNA – INTERNAL INFORMATION