

Peer Practices Database





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The Peer Practices Database (PPD) puts thousands of real industry examples and CSA expected practices at your fingertips. Company examples are sourced from peer companies in all industries and cover majority of the questions included in the S&P Global Corporate Sustainability Assessment (CSA).

Three Types of Analysis at Your Fingertips

- **Company examples** refer to real publicly disclosed peer practices that fulfill at least some of the CSA expectations. This is limited by the availability of public examples. Please note that no confidential information provided by companies to S&P Global will be disclosed. The database will be updated throughout the year with new peer practice examples.
- **Hypothetical examples** are provided on selected questions where no public examples can be found. In such cases S&P Global will provide a hypothetical example, not linking to any publicly available company case.
- **Quantitative analysis** will inform you about the conditions required in a certain CSA question to score 90 or above, and the percentage of companies in your industry meeting those conditions in a given score release date and assessment year. This analysis is provided on a global level.

Easy Online Access

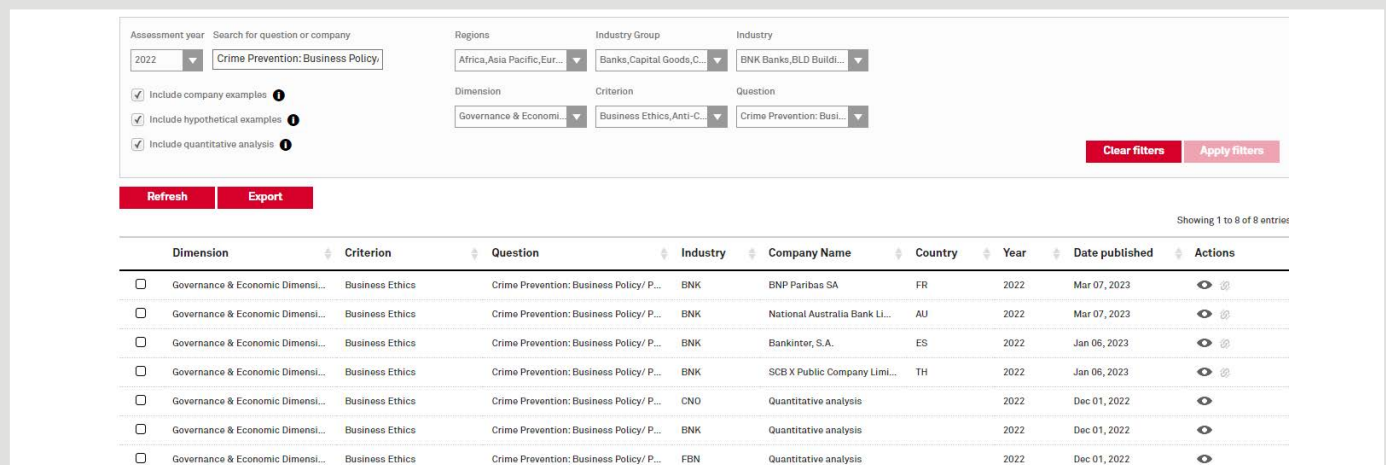
The database can be accessed after log-in to the online CSA portal. Register or log in to your company's account and navigate to: <https://portal.csa.spglobal.com/survey/#peer-practices>

Three Simple Steps to Get To Your Tailored Report

Use the database to search for the results that best meet your needs and create customized reports to share with your colleagues:

- **Apply different search filters**, or search by key word to narrow down your search. The table automatically reflects your selected filter options.
- **View a summary** of the key elements of selected peer practices by clicking the icon in the "Actions" column or simply follow the reference to the underlying source document to study the full example.

Screenshot: Filter for company examples — in this case, for crime prevention: Business policy/ Procedures in the Governance and Economic Dimension.



Dimension	Criterion	Question	Industry	Company Name	Country	Year	Date published	Actions	
<input type="checkbox"/>	Governance & Economic Dimensi...	Business Ethics	Crime Prevention: Business Policy/ P...	BNK	BNP Paribas SA	FR	2022	Mar 07, 2023	
<input type="checkbox"/>	Governance & Economic Dimensi...	Business Ethics	Crime Prevention: Business Policy/ P...	BNK	National Australia Bank LI...	AU	2022	Mar 07, 2023	
<input type="checkbox"/>	Governance & Economic Dimensi...	Business Ethics	Crime Prevention: Business Policy/ P...	BNK	Bankinter, S.A.	ES	2022	Jan 06, 2023	
<input type="checkbox"/>	Governance & Economic Dimensi...	Business Ethics	Crime Prevention: Business Policy/ P...	BNK	SCB X Public Company Limi...	TH	2022	Jan 06, 2023	
<input type="checkbox"/>	Governance & Economic Dimensi...	Business Ethics	Crime Prevention: Business Policy/ P...	CNO	Quantitative analysis		2022	Dec 01, 2022	
<input type="checkbox"/>	Governance & Economic Dimensi...	Business Ethics	Crime Prevention: Business Policy/ P...	BNK	Quantitative analysis		2022	Dec 01, 2022	
<input type="checkbox"/>	Governance & Economic Dimensi...	Business Ethics	Crime Prevention: Business Policy/ P...	FBN	Quantitative analysis		2022	Dec 01, 2022	

– **Export a customized report.** Just click on the practices you wish to include in the report and click the “Export” button.

– **Case study: Share tailored research with subject matter experts.**

Let’s assume you are working for a financial company and your relevant department struggles with improving its Crime prevention: Business Policy / Procedures. With a quick search in the Peer Practices Database you can provide them with a couple of examples from relevant companies or regions as well as statistical information on the number of companies meeting expectations in the CSA for encompassing codes. Using your customized report, you can provide key insight to your team: “21% of companies as of November 30, 2022 in our industry can meet the highest standards for this question. Here is an example by our competitor, I am confident that we can live up to investor expectations and improve our codes for the next assessment round.”

Screenshot: Sample Peer Practices Report for a selection of company examples and quantitative analysis.

11.07.2023

Peer Practices Report

prepared for CSA Portal User Last Name, BNK Test Company



Year / Region / Industry	Peer Practice Examples
2022 Global BNK Banks	<p>Governance & Economic Dimension • Business Ethics • Crime Prevention: Business Policy/ Procedures</p> <p>The company has a publicly available policy to ensure the effective establishment and implementation of a company culture opposing money-laundering and terrorism financing. The policy includes:</p> <ul style="list-style-type: none"> - Policies and procedures for customer due diligence - Policies and procedures for Non-face-to-face CDD - Customer due diligence procedures for terrorist financing - Policies and procedures covering the identification, periodical review and monitoring of PEPs - Signing off of PEP customers by senior management - Record keeping of all AML related documents along with disclosure of number of years - Annual independent assessment of monitoring procedures. <p>* Strawberry AG: https://www.strawberry.com/ratings/en/research-insights/topics/esg-and-sustainability Anti-money Laundering Policy, March 2021, Pages 1 and 2</p>
2022 Global BNK Banks	<p>Governance & Economic Dimension • Business Ethics • Crime Prevention: Business Policy/ Procedures</p> <p>21% of companies as of Nov 30, 2022 in the BNK Banks industry that submitted the questionnaire achieved a score of 90 or above. The company has a publicly available policy to ensure the effective establishment and implementation of a company culture opposing money-laundering and terrorism financing. The policy includes:</p> <ul style="list-style-type: none"> - Policies and procedures for customer due diligence - Policies and procedures for Non-face-to-face CDD - Customer due diligence procedures for terrorist financing - Policies and procedures covering the identification, periodical review and monitoring of PEPs - Signing off of PEP customers by senior management - Record keeping of all AML related documents along with disclosure of number of years - Annual independent assessment of monitoring procedures. <p>Quantitative analysis • Corporate Sustainability Assessment 2022</p>

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