

Counterparty Manager: ISDA[®] Amend

Single-point implementation for ISDA Protocols

Protocols are primary mechanisms by which the OTC derivatives market adapts to new market and regulatory conditions. ISDA Amend is a partnership between S&P Global Market Intelligence and the International Swaps and Derivatives Association that streamlines protocol adherence via:



Regulatory Guidance

Smart logic and legal descriptions guide users through the regulatory requirements of each protocol.



Standardization

Benefit from the global network of banks, brokers, and buysides. Join this established and rapidly growing online community for effective access to broker/dealer, institutional investor and other trade counterparties.



Scale

Users can disseminate and receive all protocol adherence information via API, flat file, or manual download.



Auditability

The platform captures a time stamp and user for every task so an accurate picture of the past can be reconstructed.

ISDA Amend also includes the below protocols and representation letters:

ISDA Amend 1.0 functionality addresses:

- August 2012 Dodd-Frank Protocol
- March 2013 Dodd-Frank Protocol
- Cross Border Representation Letter
- Canadian Representation Letter
- EMIR Counterparty Classification Tool
- EMIR Clearing Categorization
- EMIR Trade Reporting Information
- Ownership & Control Reporting (OCR)
- CFTC Initial Margin Segregation
- Australia (ASIC) Single-Sided Reporting
- Australia (ASIC) Clearing Rules

ISDA Amend 2.0 expanded functionality addresses:

- Regulatory Margin Self-Disclosure Letter (U.S., Canada, EU, UK, Switzerland, South Africa, Japan, HK, Singapore, Korea, India, Australia)
- Variation Margin Protocol (NY, English, Japanese Law)
- Jurisdictional Modular Protocol (multi-jurisdictional)
- Hong Kong Clearing Classification
- Canadian Clearing Classification
- ISDA Benchmarks Supplement Protocol
- ISDA Amend U.S. Stay Protocol (QFC)
- Simplified IM Self Disclosure Letter 2019
- ISDA IBOR Fallbacks Protocol

Compliance in three easy steps

Step 1	Step 2	Step 3
Sign up	Onboard	Match
Counterparty Manager	Activate	Regulatory information
Register online at ihsmarkit.com	ISDA Protocol adherence letter; Part II of protocol questionnaire	Make representations
Upload	Identify	Comply
KYC/AML document upload	Identify participating accounts	Use ISDA Amend to help fulfill compliance and regulatory requirements

Latest additions to ISDA Amend:

Top Up Protocol: Share existing, pre-populated CFTC Dodd Frank protocol data with counterparties to meet new SEC Dodd Frank requirements.

2021 US Self Disclosure Letter: Smart logic to navigate new US Cross Border and Regulatory Margin rules for both CFTC and SEC Dodd Frank requirements.

Securities Based Swap Dealer Protocol: All the advantages of the original Dodd Frank 1 and 2 (CFTC) Protocols tailored for the new SEC requirements.

Key Stats

130,000+
legal entities

10,000+
buyside subscribers

210+
dealers accessing documents